

Faculty Performance Evaluation Document
FPED
Approved by Faculty Senate 3/14/08

I. PREAMBLE

Principles

Florida Gulf Coast University (FGCU) is a prototype institution of higher education for the new millennium. As such, the university provides an environment of dynamic growth and innovative change, which is reflected in teaching and scholarship, curricula, community service, faculty, administration, staff, and facilities and is based on the *Philosophy for the Selection of Faculty* document adopted by the Faculty Senate in September 1999. **FGCU affirms that student learning is its primary mission; delivery of the highest quality educational services is central to all its endeavors.** The university is committed to the development of an academic environment that fosters the following principles:

- **Collaboration.** The university practices collaboration in governance, operations, and planning to ensure broad commitment to its mission.
- **Civil environment.** The university is committed to maintaining a professional environment based on mutual respect and academic integrity.
- **Academic freedom.** The faculty and administration acknowledge that academic freedom is the foundation for the creation, transmission, and advancement of knowledge. It is understood that the university vigorously protects freedom of inquiry and expression and fosters a climate of openness in which students, faculty, and staff engage in diversity of perspectives, ideologies, and approaches with tolerance and fairness.
- **Diversity.** The university recognizes that diversity throughout all of its constituencies is a source of renewal and vitality.
- **Faculty productivity.** The university endorses the concept that the work of faculty needs to be defined in ways that realistically reflect the full range of academic, professional, and civic responsibilities. FGCU recognizes the importance of effective teaching in a learning-centered university and affirms the value of faculty applying their expertise in service to the community. The university also affirms that scholarly activity needs to be broadly defined to include areas previously undervalued; nonetheless, all forms of scholarly activity require appropriate validation to assure quality.
- **Equity.** As FGCU embraces non-tenure and tenure-track appointments, the evaluation process for all faculty shall not be prejudicial to any individual.
- **Professional development.** Providing a sense of stability and cohesiveness in the diverse atmosphere of multi-year contracts and tenure requires an institution's commitment to the welfare and development of its employees. The administration and individual faculty members share the responsibility for professional growth. The administration provides faculty members with the opportunity and resources to continue their professional development. Individual faculty members, in turn, take the initiative in promoting their own growth as teachers, scholars, and, where appropriate, as practitioners. They seek the advice and support of colleagues and mentors

Assessment

FGCU affirms with one voice that assessment of all functions is necessary for improvement and continual renewal. Through comprehensive and systematic assessment, the university ensures that it provides the highest quality education, scholarly activity, and service to the community. Assessment is the cornerstone of accountability and is the highest priority for accrediting agencies, including the Southern Association of Colleges and Schools (SACS). Consequently, FGCU has developed an Institutional Effectiveness Plan, with full participation of all university constituencies that provides an overall framework and direction for evaluation. Included within this framework are the evaluation of students, the administration, and faculty. The mechanism for faculty evaluation is the Faculty Performance Evaluation Document (FPED).

Context and Purpose

The Interim Faculty Affairs Committee (1996-1997) developed this Faculty Performance Evaluation Document within the context of the precepts, values, and principles described above. The Faculty Affairs Team (1997-1998) revised the document after one year of implementation using input from all constituencies. This FPED is a revision of the April 18, 2003 FPED. The purpose and terms of the Document are consistent with and expound upon the terms of the current Collective Bargaining Agreement as amended (hereinafter CBA) between the FGCU Board of Trustees (BOT), and the **Florida Gulf Coast University Chapter of United Faculty of Florida (UFF)**. The FPED affirms Article 1.2 A of the CBA, which reads: "No existing, new or amended University rule, policy, or Board resolution shall apply to employees in the bargaining unit if it is inconsistent with or conflicts with an express term or provision of the Agreement." The FPED specifies basic policies, procedures, and criteria for the various types of faculty evaluation at FGCU:

- Annual evaluations
- Preliminary peer review and promotion
- Successive fixed Multi year Appointment (MYA)
- Sustained performance review (tenured faculty)
- Performance recognition programs such as the former Teaching Incentive Program (TIP), Professorial Excellence Program (PEP), and merit pay

Florida Gulf Coast University perceives scholarly activity as a broad range of intellectual activities in the areas of teaching, scholarship, and service. It is the responsibility of the individual units to define the scope and range of scholarly activity in their Criteria.

It is expected that all members involved in the evaluation process will act ethically; if, under unusual circumstances, a conflict of interest occurs, faculty members should remove themselves from the proceedings or any participant may refer the situation to the University Faculty Affairs Team.

II. WHO IS COVERED BY THESE POLICIES

The policies in this document apply to all faculty bargaining unit positions that are covered by the CBA. Therefore, all in-unit faculty who hold tenure or tenure earning positions, or who have multi-year appointments, both fixed and continuing, or modified appointments (e.g., visiting, provisional, affiliate, etc.) are included. Consistent with the CBA between the BOT and UFF, Florida Gulf Coast University chairs and other out-of-unit faculty are excluded from the bargaining unit and thus not covered by this document.

III. THE PROFESSIONAL DEVELOPMENT PLAN (PDP)

Foundation of the Professional Development Plan

Evaluation processes and career success are the shared responsibility of all participants--individual faculty members, administrators, Peer Review Committees (PRCs), and the Faculty Affairs Team. FGCU recognizes and appreciates the diversity of faculty roles and responsibilities within the University. Likewise, the administration and the faculty are committed to a faculty development program that provides opportunities and resources for professional growth and advancement within academe.

Each faculty member at FGCU, must, in collaboration with his or her supervisor, prepare a PDP, which is a public document. The PDP reflects the need for a flexible faculty assignment system that is consistent with the units' annual evaluation criteria and standards, and:

- Accommodates the various types and lengths of faculty appointments at FGCU.
- Places student learning at the forefront of all educational activities.
- Fosters quality, integrity, and the search for knowledge.
- Allows for a broad definition of scholarly activity to include creative works and achievements appropriate to the mission of an institution of higher education.
- Encourages innovative and collegial relationships with regional community organizations, businesses, and professionals in education, health care, social services, etc.
- Encourages collaboration across disciplines, colleges, and units.
- Recognizes each faculty member as a unique individual with interests, talents, and strengths whose professional aspirations and goals are influenced by personal needs, career life stages, and expectations of the profession.
- Mutually respects the professional goals of each faculty member and the missions of the unit, department, college, and/or university in faculty assessment and performance evaluation.
- Provides opportunities for recognition, incentives, and rewards that take into account the multi-dimensional aspects of the professorate.
- Provides a just and effective process whereby the knowledge gained is used to enhance faculty achievement and student performance.
- Nurtures collaboration within the university community.

Description of the Professional Development Plan

The PDP is the cornerstone of all faculty assignment processes at FGCU. The assignments and other activities listed in the signed PDP must be ones that, if performed satisfactorily, ensure that the faculty member at least meets the unit's annual evaluation standards. In addition, these assignments must provide equitable opportunities, in relation to other employees in the same department/unit, to meet the required criteria for promotion, continuing multi-year appointment extensions, successive fixed MYA, and merit salary increases (Article 9.2.D) By September 30, each faculty member must, in collaboration with his or her supervisor, finalize a PDP. While teaching is central to the University's mission, individual faculty members may engage in the activities of teaching, scholarship, and service in different degrees and intensities. The responsibilities and objectives in the PDP will reflect the faculty member's professional goals and objectives as well as the needs of the college, unit, and/or University. **Goals represent long-term aspirations of the individual across the duration of the contract; objectives are specific targeted achievements for a defined period.** Each unit is encouraged to have a mechanism for sharing of the PDPs.

The PRC (see Section VII) may provide guidance and assistance to faculty members in developing the goals and objectives identified in the PDP and in preparing documentation for performance reviews, if requested. The Faculty Affairs Team strongly recommends that faculty members select a mentor to provide assistance with the development of the PDP.

Key Components of the Professional Development Plan

Approved by Faculty Vote December 2002; Approved by Senate 1-24-03; Approved by Provost 1-29-03; Approved by Senate 4-18-03; 4-10-07 document approved by the Faculty Senate 4-13-07, changes proposed by conference committee 12-13-07, revised 3-13-08, approved by Faculty Senate 3-14-08; approved by Academic Affairs 3-28-08.

The content of the PDP, which includes faculty goals and objectives, will be developed through collaboration between the individual faculty member and the faculty member's supervisor and may include peer input with written consent of the faculty member. Rank, experience, labor and time-intensive activities shall be given appropriate consideration when negotiating assignments and activities. The PDP is intended to be flexible and can be amended as needed; these amendments must be signed by the supervisor and the faculty member and included in the faculty member's personnel file. Faculty members will identify professional goals and objectives. **As with the PDP itself, goals will have a multi-year perspective while objectives will serve as intermediate aims that may be attained within a semester or academic year. Goals represent long-term aspirations of the individual across the duration of the contract; objectives are specific targeted achievements for a defined period.**

- The PDP will contain a clear statement of the professional activities in which the faculty member will engage to achieve each of his or her professional goals and objectives. Successful completion of these objectives is the responsibility of the individual faculty member.
- The PDP may identify documentation the faculty member anticipates providing to support performance (Evaluation criteria will be developed in each academic unit; see Section IV of this Document.)
- The PDP will contain a statement of commitment concerning the allocation of time and other resources necessary for the faculty member to successfully achieve the agreed-upon goals and objectives. It is the administration's responsibility to see that the agreed-upon resources are available.
- If the faculty member received an unsatisfactory annual evaluation the previous year in any of the three areas of Teaching, Scholarship, or Service (or the applicable unit-level categories), the PDP will also contain a list of constructive activities to be undertaken during the next successive academic year developed jointly by the faculty member and his or her supervisor. The list will include specific performance targets that will be achieved in order to remedy the current year's unsatisfactory evaluation, as well as any resources or assistance needed to facilitate improvement. If a faculty member requests its participation, the unit's PRC may assist the faculty member.
- If the faculty member receives an overall unsatisfactory annual evaluation, a Performance Improvement Plan (PIP) will be developed in place of a PDP for the following year.
- Should the faculty member anticipate applying for promotion within the next two years, his or her PDP will include a statement to this effect so as to inform the supervisor's preliminary review of the faculty member's proposed assignments and activities for the coming year.
- Unanticipated changes in assignments and responsibilities will be documented as amendments to the PDP and considered when evaluating the individual's performance. Faculty members making substantive changes in listed scholarship or service activities should discuss them with the faculty member's supervisor when such changes may impact their annual evaluation. These amendments, along with a statement of the way in which these activities meet the unit's criteria, will be included in the Annual Professional Development Report (APDR) submitted to the supervisor for the evaluation process.
- According to Article 9 of the CBA, "Scheduled hours for all employees shall not normally exceed forty (40) hours per week. Time shall be allowed within the normal working day for research, teaching, or other activities required of the employee, when a part of the assigned duties."

The assignments and activities listed on the PDP should be achievable in a normal forty-hour workweek.

- "Each employee shall be given assignments, which provide equitable opportunities, in relation to other employees in the same department/unit, to meet the required criteria for promotion, tenure, continuing multi-year extension, successive fixed multi-year appointments, and merit salary increases (Article 9.2.D)

IV. EVALUATION CRITERIA

FGCU is a public university that embraces the tripartite responsibility of teaching, scholarship, and service. While teaching is central to the University's mission, individual faculty members may engage in the activities of teaching, scholarship, and service in different degrees and intensities. The assignments and other activities listed in the signed PDP must be ones that, if performed satisfactorily, ensure that the faculty member at least meets the unit's annual evaluation standards. In addition, these assignments must provide equitable opportunities, in relation to other employees in the same department/unit, to meet the required criteria for promotion, continuing multi-year appointment extensions, successive fixed MYA, and merit salary increases (Article 9.2.D)

Faculty performance will be evaluated according to the unit's criteria and standards, using the following three-point scale:

- Exceeds
- Meets
- Below Objective

In order to assess faculty performance objectively and equitably, criteria for evaluation will be developed in each academic unit in congruence with University Guidelines (see Appendix D of this document). Based on the criteria and standards the faculty, in collaboration with the dean/director/supervisor will identify and define specific performance expectations/objectives or desired outcomes in the areas of teaching, scholarship, and service. As described in the CBA (Article 10), the criteria will establish a standard for faculty effectiveness in teaching, scholarship, and service. This standard will describe the extent to which a faculty member meets the stated objectives. If all objectives are met in a satisfactory manner, the faculty member should receive a rating consistent with the unit's annual evaluation criteria and standards.

Activities that are frequently labor and time intensive need to be given full recognition in assessing and rewarding faculty performance. In developing evaluation criteria, the units will consider faculty efforts with respect to team teaching, interdisciplinary and collaborative curricula development, internships, practice, supervised teaching, field experiences incorporated in courses, and structured mentorship activities. Appendix D provides lists of activities and documents associated respectively with teaching, scholarship, and service. The items on these lists are illustrative of the range of faculty responsibilities and will assist the units in establishing evaluation criteria (CBA, Article 10).

One of the linchpins of Florida Gulf Coast University's appointment system is the annual evaluation of all faculty performance as either "overall satisfactory" or "overall unsatisfactory." Each unit must have criteria and standards capable of being applied fairly, equitably, and consistently, recognizing the critical role these play in enhancing the unit's pursuit of its mission, its recruitment and retention of high-quality employees, and its faculty members' quest for continuous improvement.

Each unit's annual evaluation criteria and standards should balance the need for clarity and concreteness, on the one hand, and the equally compelling need for generality and adaptability, on the other hand. Addressing the former is a prerequisite for ensuring consistency and equity; addressing the latter is a prerequisite for dealing fairly but flexibly with the diversity of cases likely to confront a unit's faculty members and supervisors. Creativity and intellectual exploration are crucial ingredients in all successful universities. Because of this, units are encouraged to adopt evaluation criteria and standards that provide incentives for experimentation and innovation, encouraging faculty members to consider projects whose likelihood of long-term success may be initially uncertain. Each unit's criteria and standards should provide guidance to the faculty members and supervisors confronted by such cases.

Approval of each unit's annual evaluation criteria and standards requires confirmation by a majority vote of its in-unit faculty members (CBA Article 10.3), before advancing to the President or designee for final approval. Owing to this requirement, developing these criteria and standards should be a collaborative undertaking, with final recommendation to the President or designee for approval occurring only after careful deliberation by each unit's faculty.

V. PERFORMANCE REVIEWS

The Review Process

Performance reviews are conducted for the following categories:

- Annual
- Fixed Multi-year Successive Contract
- Continuing Multi-year Appointments Probationary Review
- Promotion
- Tenure
- Sustained Performance (Post-Tenure)

The review process for each of these categories is described in the following pages. The descriptions include information regarding to whom the review applies, when the review will take place, the period covered by the review, participants, required documentation, outcome, and discussion and/or consultation process with supervisors when there is disagreement. All components of and activities related to the performance review process at FGCU must be conducted in full compliance with the CBA and faculty must be copied on all performance recommendations.

If a faculty member believes that there has been a violation of the CBA, there are a number of formal and informal avenues a faculty member may select. Informally, the faculty member should first seek resolution with the supervisor, and concurrently seek advice from the UFF grievance officer regarding informal resolution and/or grievance processes. Formally, faculty may avail themselves of the grievance process in accordance with Article 20 in the CBA within 30 calendar days, or file legal action outside the university and bargaining structures.

The review process provides for continuous review and feedback to faculty to ensure professional growth and attainment of faculty and institutional objectives and goals.

Supervisors

The following sections consistently refer to the role of the supervisor in the evaluation process; because the various units in FGCU have adopted different administrative structures, the supervisor for each unit must be clearly defined in the unit criteria document which may reference consultation during the evaluation process with the appropriate chief academic administrator in the unit.

Participation of Colleague

At the time of portfolio submission, a faculty member may request in writing that a colleague participate in the review process. This colleague may include his/her mentor or other FGCU colleague. The colleague with the faculty member's written consent may examine all submitted material and may observe the supervisor's discussion with the faculty member.

VI. THE PERFORMANCE IMPROVEMENT PLAN (PIP)

Foundation of the Performance Improvement Plan

FGCU seeks through the Continuing Multi-year Appointment system to utilize annual evaluations of faculty in a consistent and constructive fashion to foster continuous improvement and accountability. In the event a Continuing Multi-year Appointment faculty member receives an overall unsatisfactory annual evaluation, he or she will be placed on one-year probation, with no contract extension for the duration of the probation period. The faculty member will be afforded a reasonable opportunity for remediation through development of a PIP.

Description of the Performance Improvement Plan

A one-year PIP will be required of any Continuing Multi-year Appointment or Fixed Multi-Year Appointment faculty member receiving an overall unsatisfactory annual evaluation (CBA Article 15). The PIP is designed to help remedy the deficiencies responsible for the overall unsatisfactory annual evaluation and to identify specific performance targets for the following academic year. The PIP must be finalized by September 30.

Key Components of the Performance Improvement Plan

Based on deficiencies identified in the annual evaluation, the faculty member will draft the PIP. The content of the PIP will be developed through collaboration between the individual faculty member and the faculty member's supervisor. The faculty member has the option of consulting with the unit's PRC in developing the PIP.

- As is the case for development of a PDP, the faculty member's teaching preferences, plans for scholarship and service, and other performance related activities should be given appropriate consideration as outlined in Article 9.3 when negotiating activities and objectives.
- The PIP will contain a clear statement of the professional activities in which the faculty member will engage to successfully meet agreed-upon performance targets and professional objectives. Successful completion of these activities and meeting the performance targets is the responsibility of the individual faculty member.
- The PIP will clearly identify the evidence for evaluating the faculty member's achievement of the agreed-upon performance targets and professional objectives.
- The PIP will contain a statement of commitment concerning the allocation of time and other resources necessary for the faculty member to successfully achieve the agreed-upon performance targets and professional objectives. It is the supervisor's responsibility to see that the agreed-upon resources are available.
- The activities and objectives listed on the PIP should be achievable in a normal forty-hour workweek.

- The PIP will identify intermediary dates for review and possible modification of the Plan.
- Both the faculty member and the supervisor will sign the PIP.
- The PIP may be adjusted as agreed upon and signed by both parties to indicate completed portions, changes in available resources, etc.
- **At the time of PIP submission, a faculty member may request in writing that a colleague participate in the PIP development. This colleague may include his/her mentor or other FGCU colleague.**

Annual Review

- Applies to: Fixed multi-year, continuing contract, tenure-line, and tenured faculty.
- Period under review: Previous academic or contract year (typically summer, fall, and spring semesters) including those covered by an academic or professional leave e.g. sabbatical or professional development.
- Timeline:
- Performed annually.
 - Nine Month Faculty
 - March 31: Documentation submitted to the supervisor. Faculty may add documents related to spring performance not available until after the due date.
 - April 30: Evaluation completed.
 - May 6: Draft PDP for next academic year.
 - September 30: Final PDP completed and signed
 - Twelve Month Faculty
 - No later than May 31: Documentation submitted to the supervisor. Faculty may add documents related to spring performance not available until after the due date.
 - June 30: Evaluation completed
 - July 6: Draft PDP for next academic year.
 - September 30: Final PDP completed and signed.
- Participants:
- Faculty member and supervisor. Input from faculty peers may be sought by supervisor and must be in writing, consistent with provisions of CBA (Art 10.2A).
 - The faculty member may request in writing that a colleague observe the review process. This colleague must be identified to the supervisor in writing as having permission to review all evaluative material. This colleague may be his/her mentor, other FGCU faculty colleague, or member of the PRC. The colleague may examine all submitted material and may observe the supervisor's discussion with the faculty member. The colleague serves only as an advisor to the faculty member.
- Documentation: Provided by faculty member:
- Updated curriculum vitae.
 - PDP which includes faculty assignments and activities, and documented amendments
 - Annual Professional Development Report (APDR), which addresses any substantive changes, and includes statements of how the accomplishment and performance of activities have met or exceeded unit standards.
 - Supporting materials should address the assignments and activities identified in the PDP and may include: for teaching: syllabi, student evaluations, self-assessment; for scholarship: scholarly documents; for service: list of University and community activities with chairs or contact people.
 - Recommended supporting materials for teaching should include any peer assessment conducted during the period under review.

- Prior Performance Review Reports with recommendations for improvements (if any) and supporting documentation.
- Other relevant information such as signed letters from students, colleagues, faculty from other institutions, editors, community members, and practitioners in the field.
- No new materials will be added after the review process begins except for acceptance letters of scholarship.

Provided by supervisor:

- All relevant materials shall be given to the faculty member upon receipt and shall be consistent with CBA Article 11 which excludes anonymous materials except for student evaluation.

Process:

- The evaluation process will include two independent assessments: a self-assessment and the supervisor's assessment.
- All documentation should be submitted to the supervisor (see timeline for specified dates).
- The faculty member should set up an appointment with the supervisor to discuss the evaluation by the specified time (see timeline for specified dates).

Reports:

- Upon completion of the evaluation, the supervisor prepares a draft Performance Review Report, i.e., a written summary regarding performance. This evaluation must include a statement regarding progress toward reappointment (if applicable), tenure (if applicable), and/or promotion. The summary is shared with the faculty member, who may elect to meet with the supervisor and discuss the specific findings evaluation ratings and recommendations. Subsequently, a final Performance Review Report is prepared, which the faculty member will sign as an indication that he/she has had the opportunity to read the report. Such a signature connotes neither agreement nor disagreement with the report. The faculty member may append a written statement to the report. The report and appended statement, if any, are included in the faculty member's personnel file.
- If a CMYA faculty member receives an overall satisfactory annual evaluation as defined by the unit, he or she will receive a one-year contract extension, thereby maintaining a full three-year appointment cycle (CBA Article 15.1(B).)
- Should a CMYA or FMYA faculty member receive an "overall unsatisfactory" annual evaluation as defined under Section IV Evaluation Criteria, he or she will be placed on one-year probation and a PIP listing constructive improvements to be undertaken by the faculty member is developed jointly by the faculty member and the supervisor. The plan will include specific performance targets, any necessary resources or assistance to facilitate improvement, and a timetable for development and periodic supervisory follow-ups. The PIP and any subsequent information, which shows attainment of goals and objectives identified in the plan, will be included with the Performance Review Report in the faculty member's personnel file.

Outcome: An evaluation of the faculty member that states whether he/she "Exceeds,"

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"Meets," "Does Not Meet" unit standards.

Response:

In the event a fixed multi-year, continuing contract, tenure-line or tenured faculty member is assessed "overall unsatisfactory" in his or her annual evaluation and disagrees with the evaluation, he or she may submit a written response to the supervisor which will be attached to the annual review with a copy to the Dean (Article 10).

If there is no resolution of concerns with the supervisor, the faculty member may seek resolution with the dean/director. If the supervisor is the dean/director, resolution should be sought at the next higher administrative level

If the faculty member believes that there has been a violation of the CBA, the faculty member may file a Grievance under Article 20 of the CBA, which must be done within 30 days of the violation. Alternatively, the faculty member may resort to legal action outside the university and CBA.

References: CBA Articles 10 and 20, Administrative Codes, Florida Statutes

Fixed Multi-Year Successive Contract Review

Applies to: Faculty on fixed multi-year contracts.

Period under review:

- For the first reappointment review, the period from the beginning of the contract to the time of the multi-year reappointment review; for subsequent reappointment reviews, the period from the previous reappointment review.

Timeline:

- Conducted during the penultimate year of the contract.
- By December 1 of the fall of the penultimate year, the university notifies the faculty member in writing that if he/she is to be considered for a successive fixed multi-year appointment, the faculty member must submit a written request and documentation pursuant to procedures in Article 15.2 of the CBA.
- January 6: Faculty notifies supervisor of intent to apply for successive multi-year appointment.
- January 30: Faculty submits documentation to the supervisor.
- February 1: Faculty member's documentation made available to PRC for review
- March 30: PRC submits recommendation to the supervisor.
- April 7: Supervisor makes recommendation to dean/director.
- April 15: Dean/director makes recommendation to VPAA.
- April 30: VPAA notifies faculty member of decision to offer a successive appointment or not.

Please note that this timeline differs from the timelines for tenure and promotion evaluations because reappointment decisions do not go beyond the institutional level.

Participants:

- Faculty member, supervisor, PRC, dean/director, VPAA. Input from faculty peers may be sought and must be in writing.
- The faculty member may request in writing that a colleague observe the review process. This colleague must be identified to the supervisor in writing as having permission to review all evaluative material. This colleague may be his/her mentor, or other FGCU faculty colleague. The colleague may examine all submitted material and may observe the supervisor's discussion with the faculty member. The colleague serves only as an advisor to the faculty member.

Documentation:

- Updated curriculum vitae.
- Cumulative information from previous annual reviews.
- Current year information equivalent to that provided for annual reviews.

Provided by faculty member:

- Updated curriculum vitae.
- PDP which includes faculty assignments and activities, and documented amendments
- Annual Professional Development Report (APDR), which addresses any substantive changes, and includes statements of how the accomplishment and performance of activities have met or exceeded unit standards.
- Annual Professional Development Report (APDR), which includes amendments to the PDP and statements of how the objectives of the PDP have been met.

- Supporting materials should address the goals and objectives identified in the PDP and may include: for teaching: syllabi, student evaluations, self-assessment; for scholarship: scholarly documents; for service: list of university and community activities with chairs or contact people.
- Recommended supporting materials for teaching should include any peer assessment conducted during the period under review.
- Prior Performance Review Reports with recommendations for improvements (if any) and supporting documentation.
- Other relevant information such as signed letters from students, colleagues, faculty from other institutions, editors, community members, and practitioners in the field.
- No new materials will be added after the review process begins except for acceptance letters of scholarship.

Provided by supervisor:

- All relevant materials shall be given to the faculty member upon receipt and shall be consistent with CBA Article 11 which excludes anonymous materials except for student evaluations.

Process:

- The evaluation process will include at least three independent assessments: a self-assessment; the PRC's assessment; and the supervisor's assessment (including the Dean's assessment).
- All documentation should be submitted to the supervisor by Jan. 30.
- The supervisor will notify the PRC when the materials are in place for review.
- Either the PRC or the faculty member may request an initial meeting to review the documentation. This meeting will include the faculty member's colleague if one has been formally identified.
- The PRC conducts a review and passes its signed evaluation on to the immediate supervisor (chair or dean/director) by March 30 with a copy to the faculty member.
- The supervisor makes recommendation to Dean, with copy to the faculty member. The faculty member shall have the opportunity to attach a written response to the recommendation (Article 15).
- The supervisor (if other than the Dean) forwards all the independent assessments and his/her recommendation to the dean/director who is responsible for making the final recommendation to the VPAA by April 15.
- The VPAA makes a decision and notifies faculty member of offer of successive contract or not by April 30.
- At each step, the faculty member has the opportunity to respond to the recommendation in writing with a copy of the response added to the portfolio.

Reports:

- Upon completion of the review, the supervisor prepares a recommendation, i.e., a written summary regarding performance that includes the faculty member's self-assessment, the PRC's assessment, and the supervisor's assessment. The report will include a statement of support or non-support for a successive appointment and a written rationale for the recommendation. The summary is shared with the faculty member, who may append a written statement to the report. The report and appended statement, if any, are included in the faculty member's renewal portfolio.

Outcome: Recommendation for or against a successive fixed multi-year contract.

Response: If the faculty member believes that there has been a violation of the CBA, the faculty member may file a Grievance under Article 20 of the CBA, which must be done within 30 days of the violation. Alternatively, the faculty member may resort to legal action outside the university and CBA.

References: CBA Articles 10 and 20, Administrative Codes, Florida Statutes

Continuing Multi-year Appointment Probation Review

Applies to: Faculty on Continuing Multi-year Appointments.
Performed in place of the normal Annual Review process for faculty members placed on probation following the previous year's Annual Review.

Period under review: Previous academic or contract year (typically summer, fall, and spring semesters).

Timeline: Nine Month Faculty

- September 30 of current academic year: PIP finalized.
- March 15: Documentation submitted to the supervisor.
- March 31: Supervisor report submitted to Dean.
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- April 30: Notification to faculty member of appointment extension or non-extension, as appropriate.
- May 6: Draft PDP for the current academic year.
- May 10: Supervisor provides letter of assignment if no PDP received.
- September 30 of following academic year: Final PDP completed and signed.

Twelve Month Faculty

- September 30 of current academic year: PIP finalized.
- March 15: Documentation submitted to the supervisor.
- March 31: Supervisor report submitted to Dean.
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- May 6: Notification to faculty member of appointment extension or non-extension, as appropriate.
- June 15: Draft PDP for the current academic year.
- June 20: Supervisor provides letter of assignment if no PDP received.
- September 30 of following academic year: Final PDP completed and signed.

Participants:

- Faculty member, supervisor, and unit's dean/director.
- The faculty member may request in writing that a colleague observe the portion of the review process involving the supervisor. This colleague must be identified to the supervisor in writing as having permission to review all evaluative material. This colleague may be his/her mentor, other FGCU faculty colleague recommended to be of equal or higher rank, or member of the PRC. The colleague may examine all material submitted to the supervisor and may observe the supervisor's discussion with the faculty member. The mentor serves only as an advisor to the faculty member.

Documentation: Provided by faculty member (as appropriate):

- Updated curriculum vitae.
- PIP in lieu of a PDP.

- Self-evaluation and relevant supporting documentation to demonstrate

fulfillment of the PIP.

- Prior Performance Review Reports and supporting documentation.
- Summary document in response to evaluation of PIP performance targets.

Process:

Subject to initiation of a PIP:

- The faculty member's supervisor will periodically review with the faculty member his or her progress in meeting the performance targets agreed upon in the PIP.
- All materials for final probation review must be submitted to the supervisor no later than the due date.
- The faculty member may set up an appointment with the supervisor to discuss the evaluation and have the opportunity to provide a written response to be included with documentation forwarded by the supervisor.
- The unit's dean/director will make the final decision with respect to the faculty member's evaluation and contract extension based on the recommendations and documentation provided.
- The faculty member shall have the opportunity to review the final recommendation of his or her unit's dean/director and, if dissatisfied with the final recommendation, may provide a written response.

Reports:

- The faculty member shall have the right to provide written responses at any level of the review process, to be included in the documentation forwarded.
- Copies of all forwarded recommendations shall be provided to the faculty member and supervisor.
- The unit's Dean/Director must submit a written report to the VPAA

Outcome:

- If the faculty member receives a satisfactory evaluation and recommendation for contract extension, he or she shall be taken off probation and granted a two-year contract extension, thereby restoring the faculty member to a full three-year CMYA.
- In the event that the recommendation is against contract extension, no contract extension shall be issued and the faculty member shall have one year remaining in his or her appointment, without further opportunity for contract continuance.

Response:

In the event a continuing multi-year appointment faculty member wishes to respond to the results of a probation review, he or she has the right to provide written responses at any level of the review process, to be included in the documentation forwarded to the next level of review.

If a faculty member believes that there has been a violation of the CBA, there are a number of formal and informal avenues a faculty member may select. Informally, the faculty member should first seek resolution with the supervisor, and concurrently seek advice from the UFF grievance officer regarding informal resolution and/or grievance processes. If there is no resolution with the supervisor, the faculty member may seek resolution with the dean/director.

Formal: Faculty members may avail themselves of Article 20 in the CBA within 30 days of the notification on non-renewal. Alternatively, the faculty member may resort to legal action outside the university and CBA.

References: CBA Articles 10 and 20, Administrative Codes, Florida Statutes

Promotion Review

- Applies to:** Faculty on fixed multi-year, continuing multi-year, and tenured appointments who request promotion in rank (from assistant to associate professor or from associate to full professor) or level (Instructor/Academic Advisor level I to level II or from level II to level III). The guidelines below are intended to apply to all faculty seeking promotion. It is desirable that in-unit faculty have a voice in the promotion in academic rank of out-of-unit faculty
- Period under review:** All previous years at FGCU at the current rank or level, including those covered by an academic or professional leave e.g. sabbatical, professional development leave, or Fulbright (excluding medical or family leave), and any years of rank or level credited in the appointment letter.
- Timeline:** Faculty members are eligible to apply for promotion after completing four (4) full years in rank or level, and at least one full year at FGCU, before applying for promotion. (CBA Article 14.1) Promotion deliberations are normally conducted during the spring semester; and promotion decisions normally take effect at the start of the following fall semester.
- April 1 – September 30: The Peer Review Committees for each unit are elected for the academic year, and committee chairs are elected by the members of the committee.
 - Through November 15: The PRC will be available, upon written request, for consultation with faculty considering promotion., in accordance with CBA Article 14 (14.3(B))
 - November 30: All faculty members desiring consideration for promotion will submit a letter of intent to the VPAA and copy the letter to their supervisors, the appropriate deans/directors, and the chairs of the units' PRCs
 - January 15: The faculty candidate for promotion submits documentation in the form of a promotion portfolio to the supervisor. The format of the portfolio is prescribed by the CBA (Article 14.3.A) and by the unit's criteria and standards for promotion. This documentation is maintained in a secured location that is accessible to authorized reviewers.
 - January 20: Where applicable, the supervisor sends out promotion materials with pertinent criteria to the person or persons identified as "external reviewers" with instructions that reviews must be returned to the supervisor no later than February 21. Copies of the external reviewers' evaluation(s) will be promptly furnished to the candidate, who shall have five days to attach a brief response, if desired. Both the evaluations and responses, if any, shall be added to the promotion portfolio for consideration by the PRC
 - By March 7: The PRC reviews the candidate's application for promotion and makes a recommendation regarding promotion that goes to the unit dean/director. The PRC's review shall be taken independently of the supervisor's review. Copies of the PRC's recommendation will be promptly furnished to the candidate, who shall have five days to attach a brief response, if desired. Both the recommendation and response, if any, shall be added to the promotion portfolio for consideration by the unit dean/director.

- By March 7: The supervisor reviews the candidate's application for promotion and makes a recommendation regarding promotion that goes to the unit dean/director. Copies of the supervisor's evaluation will be promptly furnished to the candidate, who shall have five days to attach a brief response, if desired. Both the evaluation and response, if any, shall be sent to the unit dean/director for inclusion in the portfolio at the dean's stage of review.
- March 12-March 22: The candidate's dean/director reviews the promotion portfolio and the materials forwarded from the supervisor, the PRC and makes a recommendation to the VPAA with a copy to the candidate who shall have five days to attach a brief response, if desired. Both the recommendation and response, if any, shall be sent to the VPAA by March 27.
- March 27-April 25: The VPAA evaluates the candidate's application for promotion and all accompanying reports and recommendations and makes a decision either to recommend promotion to the University Board of Trustees or to deny promotion. The VPAA communicates this decision to the candidate in writing, consistent with the provisions of the CBA (Art. 14).

- Participants:
- Faculty member, supervisor, dean/director, PRC, external reviewers if applicable, and the VPAA.
 - The faculty member may request in writing that a colleague assist the faculty member in the review process. This colleague may be his/her mentor or other FGCU faculty colleague. The colleague may examine all submitted material and may make recommendations to the faculty member regarding their documentation and the review process. Neither the faculty member nor the colleague participates in the PRC's final decision making.
 - A unit using external reviewers must indicate when they will be used, and include a process for nominating and selecting reviewers as part of its promotion criteria and standards.

- Documentation:
- Executive Summary Evaluation relevant to promotion criteria in the college/unit, and teaching, scholarship, service, and other factors as applicable.
 - Updated curriculum vitae.
 - Cumulative information from previous annual reviews.
 - Current year information equivalent to that provided for annual reviews.
 - Peer assessment of teaching, as appropriate.
 - Review of service this may include contacting the chairs or contact people listed on the annual reports.
 - Documentation of previous years of service credited toward promotion by unit dean/director, if applicable.
 - External reviewer's report, as appropriate.

- Process:
- The evaluation process will include four independent assessments: a self-assessment; the PRC's assessment (including external reviews if applicable), the supervisor's assessment, and the dean/director's assessment.

- Either the PRC or the faculty member may request an initial meeting to review the documentation. This meeting may include the faculty member's colleague if requested by the faculty member in writing.
- The promotion process will follow the steps as stated in the timeline above.

Outcome: A favorable review by the VPAA, ratified by the President and UBOT, will result in promotion to the next academic rank or level, normally at the beginning of the next academic year (August).

Response: A faculty member who has been denied promotion and believes there has been a violation of CBA or the promotion process may file a Grievance under Article 20 of the CBA, which must be done within 30 days of the notification of denial. Alternatively, the faculty member may resort to legal action outside the university and CBA.

References: CBA Articles 14 and 20, Administrative Codes, Florida Statutes

Sustained Performance Evaluations (Post-Tenure Review)

Applies to: Tenured faculty.

Period under review: Previous six years.

Timeline:

- Conducted every seven years following receipt of tenure, or following most recent promotion after receipt of tenure.
- By November 1: faculty member notified of sustained performance evaluation scheduled for the spring semester.
- By December 1: faculty member submits sustained performance evaluation documents to supervisor.
- By January 15: supervisor submits summary report to faculty member. The faculty member has five days to provide a written response, if desired.
- By January 21: faculty member's sustained performance evaluation documents and supervisor's summary report, along with faculty member's response, if any, forwarded to the PRC.
- By February 21: PRC's report and supporting documents submitted to the dean with a copy to the faculty member. The faculty member has five days to provide a written response, if desired.
- By March 15: Dean submits recommendation and supporting documents to the VPAA with a copy to the faculty member. The faculty member has five days to provide a written response, if desired.
- By April 30: VPAA sends faculty member written notification of decision.
- By May 6: A faculty member receiving an "unsatisfactory" sustained performance evaluation must begin development of a draft Performance Improvement Plan (PIP).
- By September 30: for a faculty member receiving an "unsatisfactory" sustained performance evaluation, final PIP completed and signed

Participants:

- Faculty member, supervisor, and PRC. (See Article 10.3.C.(1) and (2)).
- The faculty member may request in writing that a colleague assist the faculty member in the review process. The colleague may examine all submitted material and may make a recommendation to the faculty member. Neither the faculty member nor the colleague participates in the PRC's final decision making.

Documentation:

- Executive Summary listing annual evaluation results in teaching, scholarship, and service for the previous six years.
- Updated curriculum vitae.
- Copies of annual evaluations for each of the previous 6 years
- Copies of any performance improvement plans during the 6-year period.
- Optional: a narrative self-assessment for the period under review.

Process:

- The supervisor prepares a summary report containing his/her recommendation, as well as the rationale for this recommendation. Copies of the supervisor's report will be promptly furnished to the candidate, who shall have five days to attach a brief response, if desired.

The supervisor's summary report and recommendation, along with the

faculty member's sustained performance evaluation documents (including the faculty member's appended response to the supervisor's report), are then forwarded to the unit's PRC

- The PRC conducts the sustained performance evaluation and issues a report containing the PRC's assessment and the basis for this assessment. The PRC submits the report to the unit's dean at the same time providing a copy to the faculty member, who shall have five days to provide a written response to the PRC's final report for review by the unit's dean/director.
- The dean writes a report for the VPAA. A copy of the dean's report will be promptly furnished to the candidate, who shall have five days to attach a brief response, if desired.
- Dean submits his or her recommendation to the VPAA along with the supporting documents (the faculty member's sustained performance evaluation documents, the supervisor's summary report and recommendation, the PRC's report, and any written responses submitted by the faculty member in accordance with the procedures above).
- The VPAA sends a written notification of his or her decision to the faculty member with a copy to the dean.

Outcomes:

- *Satisfactory*—if the faculty member has received "overall satisfactory" annual evaluations during each of the years in the period under review; he or she must be given a "satisfactory" sustained performance evaluation (CBA Article 10.3.C.2-a.)
- In cases where the faculty member has not received "overall satisfactory" annual evaluations during each of the years in the period under review, he or she may be given a "satisfactory" sustained performance evaluation based upon documentation of sustained performance overall during the period. The report may contain specific recommendations for continued professional growth and development that must be in the next PDP.
- *Unsatisfactory*—if the faculty member has not received "overall satisfactory" annual evaluations during each of the years in the period under review, and in addition has failed to document sustained performance overall during the period and continued professional growth and development, he or she may be given an "unsatisfactory" sustained performance evaluation. In such cases, the report must include a rationale, consistent with Art. 10.3C(2)(b) of the CBA.
- Should the VPAA ultimately concur with the "unsatisfactory" sustained performance evaluation, a PIP listing constructive improvements to be undertaken by the faculty member will be developed in accordance with Articles 10.3.C(2)(b) and 10.3C(1)(b). The PIP and any subsequent information, which shows attainment of goals identified in the improvement plan, will be included with the Performance Review Report in the faculty member's personnel file.

Response:

A faculty member who has received an unsatisfactory Sustained Performance Evaluation (SPE) and who believes there has been a violation of CBA or the review process may file a Grievance under Article 20 of the CBA, which must be done within 30 days of the notification of an unsatisfactory SPE. Alternatively, the faculty member may resort to legal action outside the university and CBA.

References: CBA Articles 10 and 20, Administrative Codes, Florida Statutes Administrative

Responsibility

The university is committed to the professional development and success of all its employees. In keeping with the CBA, the university administration, in collaboration with the faculty, makes performance evaluation decisions regarding annual evaluations, successive fixed multi-year appointments, continuing multi-year appointment extensions, and, promotion, tenure, and post-tenure reviews, and performance recognition awards. These decisions are based on the extent to which the faculty member meets or exceeds the goals and objectives mutually agreed upon in his or her PDP, consistent with unit criteria and standards.

The CBA outlines factors that, in addition to documented performance, may influence a university's decision to offer an individual faculty member either a successive fixed multi-year appointment, continuing multi-year appointment extension, or tenure. (See Article 8.4 and Article 15).

The CBA defines these types of appointments in Article 8.4:

Appointment Types.

- A. **Continuing Multi-Year Appointments (CMYA).** A continuing multi year appointment is an appointment of contingent duration, consisting of an initial three (3) year term extendible annually on the basis of overall satisfactory annual performance as determined through the criteria, standards, and procedures stipulated in Article 10, Evaluations. FGCU shall provide the option of a CMYA to all new ranked multi-year faculty member hires, with the exception of the appointment status categories listed in Section 8.4 (B) below.
- B. **Fixed Multi Year Appointments (FMYA).** A fixed multi-year appointment is an appointment of fixed duration, two (2) to five (5) years in length, with opportunity for successive appointments. FGCU may offer an FMYA without the option of a CMYA to bargaining unit members in the following categories:
 - (1) Instructors and lecturers;
 - (2) New faculty members who have not yet completed their terminal degree requirements but are required to do so as a condition of continued employment;
 - (3) Eminent Scholars and Research Associates;
 - (4) Tenured faculty who elect to give up their tenured status to take advantage of whatever incentives might be offered by a fixed multi-year appointment;
 - (5) Faculty who have not yet demonstrated instructional effectiveness through prior teaching experience.
- C. **Faculty on "soft money" such as contracts and grants, sponsored research funds, grants and donations trust funds, and other non-recurring sources of funds.** Tenure. Tenure as an appointment is recognized and continued only for those faculty who transferred from USF-Ft. Myers in 1997 and who have achieved such status as of the effective date of this Agreement [CBA]. Tenure guarantees annual appointment for the academic year until voluntary resignation, retirement, removal for just cause, or layoff, but does not extend to administrative appointments.

VII. PEER REVIEW COMMITTEES (PRCs)

Six PRCs will be formed--one for each of the following units:

- College of Arts and Sciences
- College of Business
- College of Health Professions
- College of Education
- College of Professional Studies
- Library Services

As new academic units evolve, additional PRCs will be created in accordance with the CBA. Each PRC will consist of a minimum of five multi-year and/or tenured faculty members elected from among the in-unit associate and full professors in the unit. In the rare circumstance where there is not a sufficient number of faculty in the college/unit with such ranks, the requirement may be waived.

The in-unit faculty in each unit will elect, by secret ballot, the individuals who will serve on that unit's PRC. All members will be elected to serve for a period of two years. Faculty members may be reelected to serve on the PRC. The chair of each PRC shall be a member of that committee elected by fellow committee members. The chair will serve a one-year term and can be reelected for subsequent terms. The chair will be responsible for convening meetings and coordinating reviews with the faculty member to be evaluated, the faculty member's supervisor, and any other individuals authorized to participate in the review process.

The PRC participates in all faculty performance reviews except annual reviews. They are responsible for the following:

- Providing guidance and assistance to faculty members in preparing the PDP and/or PIP, upon written request from the faculty member.
- Providing guidance and assistance to faculty members in preparing documentation for promotion reviews and performance reviews, upon request.
- Assisting with preparing documentation for annual reviews, upon written request from faculty.
- Participating in interim reviews regarding progress toward promotion and tenure upon written request from the faculty member.
- Preparing a written and signed recommendation for successive fixed multi-year appointment, promotion, and tenure.

In cases of conflict of interest (see FI. Statue Chapter 112.3143), the PRC member will recuse him/her self from deliberation and the decision making process.

VIII. FACULTY AFFAIRS TEAM (FAT)

The FAT serves in an advisory capacity to the Faculty Senate Leadership Team and the Faculty Senate, providing faculty input regarding personnel matters. In this role, the committee neither usurps nor replaces the formal Grievance Procedure and Arbitration clause (Article 20) and other provisions of the CBA.

The FAT is responsible for the items listed below:

- Facilitating the establishment and operation of PRC

Approved by Faculty Vote December 2002; Approved by Senate 1-24-03; Approved by Provost 1-29-03; Approved by Senate 4-18-03; 4-10-07 document approved by the Faculty Senate 4-13-07, changes proposed by conference committee 12-13-07, revised 3-13-08, approved by Faculty Senate 3-14-08; approved by Academic Affairs 3-28-08.

- Reviewing and, if necessary, making recommendations to the individual units and to the VPAA regarding evaluation criteria and outcomes in order to ensure consistency in application of university criteria and implementation of said criteria across the colleges/units
- Providing counsel regarding transition from multi-year contract; fixed and continuing to tenure-line appointments and *vice versa*
- Providing counsel regarding salary and rank equity issues within colleges (e.g., between tenure-line and multi-year contact appointments; between current faculty and new hires; or the availability and allocation of summer appointments)
- Providing guidelines for the development of performance awards by the individual units
- Providing counsel for allocating professional development and resource support
- Establishing guidelines and providing counsel regarding issues that may impact the performance and professional life of the faculty

The FAT will consist of eleven members—two members from each college, one member from Library Services, an ex-officio liaison from the office of the VPAA, and an ex-officio liaison from the Faculty Senate. The faculty in each college/unit will elect, by secret ballot, the individual or individuals that will represent that unit on the FAT. The Senate representatives within each unit will conduct the respective elections in the unit. Initially, one member from each college will be elected to serve for one year, and the other member from the same college will be elected to serve for two years. The representative from Library Services will be elected to serve for two years. Thereafter, representatives from all colleges/units will be elected to a two-year term. Nominations and elections will take place prior to the end of September.

The chair of the FAT will be a member of the FAT and will be elected by fellow committee members. The chair will serve a one-year term and can be reelected to subsequent terms. The chair of the FAT will work closely with the President of the Faculty Senate. Key responsibilities of the chair include, but are not limited to, convening meetings, developing meeting agendas, maintaining meeting minutes, and sharing faculty concerns through appropriate channels.

IX. FACULTY REVIEW OF ADMINISTRATORS

The faculty affirm the importance of assessment and evaluation of all of the university's activities and personnel. Further, faculty supports the use of evaluation results to enhance the performance of both the faculty and the administration to improve the quality of FGCU's educational programs and services. In this context, the FGCU administration invites input from the faculty regarding the leadership performance of supervisors, deans, associate deans, and assistant deans. The formal protocol for such reviews, including the evaluation form, the schedule, and the procedures, are the purview of the FGCU administration and not covered by this evaluation document; however, it is desirable that the FAT will have a meaningful involvement in the design of the evaluation process.

X. IMPLEMENTATION

The provisions of the Document were approved by Faculty Senate on March 14, 2008 and full approval on March 28, 2008 whereas; the provisions of this Document become effective at end of spring semester 2009.

The FPED will be reviewed annually by the FAT to ensure that it remains effective. Recommendations for modifications or amendments come to the Faculty Senate for consideration. The Faculty Senate, after consultation with the faculty at large, may approve any modification or amendment to the FPED. All changes in the FPED are sent to the VPAA for final approval. Personnel recommendations are to be

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consistent with the terms and conditions of the CBA. A copy of the modifications and amendments will be distributed to the FGCU UFF chapter for their information.

Appendix A: University Classification Requirements for Ranked Faculty

Minimal Educational Requirements for Faculty

The minimum educational preparation and experience necessary for eligibility for faculty rank are as follows:

Assistant Professor (9003): Doctoral degree from an accredited institution or the highest degree appropriate in the field of specialization with a demonstrated record of achievement in teaching, academic research, and service.

Must meet university criteria for appointment to the rank of Assistant Professor.

Associate Professor (9002): Doctoral degree from an accredited institution or the highest degree appropriate in the field of specialization with a demonstrated record of achievement in teaching, academic research, and service.

Normally will have produced creative work, professional writing or research in refereed and other professional journals.

Must meet university criteria for appointment to the rank of Associate Professor.

Professor (9001): Doctoral degree from an accredited institution or the highest degree appropriate in the field of specialization with a demonstrated record of achievement in teaching, academic research, and service.

Normally will have produced creative work, professional writing or research in refereed and other professional journals, and be a recognized authority in the field of specialization.

Must meet university criteria for appointment to the rank of Professor.

Appendix B: University Classification Requirements for Instructor Levels

Minimal Educational Requirements for Faculty

The minimum educational preparation and experience necessary for eligibility for Instructor levels are as follows:

- Instructor I (9014):** Master's degree from an accredited institution in an appropriate field of specialization or equivalent qualifications based on professional experience and otherwise qualified to perform assigned duties.
- Must meet University and college criteria for appointment to the level of Instructor I.
- Instructor II (9024):** Master's degree from an accredited institution in an appropriate field of specialization or equivalent qualifications based on professional experience and otherwise qualified to perform assigned duties.
- Normally will have demonstrated record of achievement in teaching and service activities at an institution of higher education.
- Must meet University and College criteria for appointment to the level of Instructor II.
- Instructor III (9034):** Master's degree from an accredited institution in an appropriate field of specialization or equivalent qualifications based on professional experience and otherwise qualified to perform assigned duties.
- Normally will have demonstrated record of achievement in teaching, professional development/research, and service at an institution of higher education.
- Must meet University and College criteria for appointment to the level of Instructor III.

Appendix C: University Classification Requirements for Academic Advisor Levels

Minimal Educational Requirements for Faculty

The minimum educational preparation and experience necessary for eligibility for academic advisor levels are as follows:

Academic Advisor I (9173): Masters degree from an accredited institution in an appropriate discipline or a bachelor's degree from an accredited institution in an appropriate discipline and two (2) years of appropriate experience at an institution of higher education.

Must meet University and College criteria for appointment to the level of Academic Advisor I.

Academic Advisor II (9174): Masters degree from an accredited institution in an appropriate discipline and four (4) years of academic advising or appropriate experience at an institution of higher education; or a bachelor's degree from a regionally accredited institution in an appropriate discipline and six (6) years of academic advising or appropriate experience at an institution of higher education.

Normally will have demonstrated record of achievement in academic advising/instruction and service at an institution of higher education.

Must meet University and College criteria for appointment to the level of Academic Advisor II.

Academic Advisor III (9175): Doctoral degree from an accredited institution and appropriate experience, or Masters degree from an accredited institution and eight (8) years of appropriate experience, and demonstrated record of achievement in academic advising/instruction, professional development/scholarship, and service at an institution of higher education.

Must meet University and College criteria for appointment to the level of Academic Advisor III.

Appendix D: Performance Activities

Activities and documents associated respectively with teaching, scholarship, and service. The items in these lists are illustrative of the range of faculty responsibilities and are intended to assist the units in establishing evaluation criteria.

Each college/unit of the university has developed promotion standards and criteria that outline appropriate expectations for demonstration of teaching, scholarship, and service effectiveness. Candidates should refer to their unit documents for the standards applicable to them. All candidates should provide clear, concise, and specific evidence relevant to their unit's criteria and standards.

Examples of performance activities and associated evidence might include:

I. Teaching

Teaching includes a broad array of activities occurring both inside and outside the classroom, clinical site, laboratory and studio. The primary aim of all teaching is to stimulate, promote and advance students' learning and educational development.

Evidence: The University expects candidates for promotion to present evidence of excellence in teaching from diverse sources:

Teaching

- Syllabi, class notes, course revisions, examinations, student reports and projects
- Program advising and mentoring of students
- Systematically collected peer evaluations and student perceptions of teaching
- Peer assessment conducted during the period under review
- The development of instructional materials, academic programs, innovative teaching strategies and delivery methods, innovative clinical teaching strategies, and software and videos in support of teaching and academic programs
- Development and presentation of university-sponsored lifelong learning offerings, e.g., workshops, clinics, continuing education programs
- Development and delivery of individualized library instruction or research assistance for students, faculty, staff, special events, and community partnerships
- Sharing relevant and significant course materials and expertise with other faculty members in the university
- Contributions to the development of supportive learning resources, including internships and clinical education and providing for significant opportunities for learning outside regularly scheduled class times
- The development of professional practice programs in such applied disciplines as health care, business, education, government, criminal justice, and human services, and supervising the learning activities and fieldwork of students enrolled in these programs
- The development and implementation of policies and procedures that aid in the analysis, development, and/or management of a library collection that supports the FGCU curriculum.

- Participation in lifelong learning courses and workshops aimed at enhancing teaching excellence and updating specialty area knowledge and expertise
- Formal public recognition of pedagogical achievement in the form of an outstanding teaching award, grant, or stipend
- Letters of support from faculty peers, professional colleagues, former students, and program participants who can attest to the faculty member's teaching performance

II. Scholarship and Creative Activities

Scholarship can be defined in myriad ways. In general, scholarship is the application of activities that use professional expertise to discover, apply, or use knowledge. Areas of scholarship include: basic and applied research, new applications of existing knowledge, integration of knowledge, creative endeavors and the development and/or analysis of pedagogical methods.

Evidence: Include only significant activities that demonstrate quality in scholarship and reflect enhancement to the overall academic performance. Examples of such activities and associated evidence might include:

- Publication of refereed articles, papers, books, chapters of books, and monographs
- Edited works in books or textbooks, translations, abstracts, reviews, or criticisms
- Presentation of papers at professional conferences and meetings
- Cases, non-refereed articles, papers, encyclopedia entries, and reference materials which contribute to the development and dissemination of new knowledge, theoretical perspectives, or ideologies
- Policy position papers and comprehensive studies developed for community clients, government, external agencies or organizations, the university, or the profession
- Musical compositions, artistic performances, paintings, exhibitions, sculptures, and works of performing arts
- Development of new technology and software for research and teaching purposes
- Research grants, fellowships, or external funding received to support scholarly work
- Works in progress
- External validation from practitioners, editors, reviewers, co-authors, and faculty external to FGCU,, which attest to the contributions and quality of the faculty member's scholarship

III. Service

The university values service to the university, profession, community, state and nation. Faculty make contributions in as many as four areas: college/unit service, university service, professional service, and community service. Service is broadly defined as engaging in activities that complement the faculty role, including educational responsibilities outside the classroom, active participation in professional organizations, committee work or other assignments within the college/unit, university, and community.

Evidence: Professional service involves the use of professional expertise in a service activity that may be internal or external to the university. Examples of professional service might include:

Service to the college/unit and/or university:

- Active involvement in the governance, program development, and accreditation of departments and/or academic unit, schools, colleges, and the university at large
- Mentoring and providing guidance to faculty colleagues
- Serving on or chairing committees or councils
- Assisting student organizations (clubs, chapters, honor societies) by serving as an advisor, assisting with the development of programs, or coordinating community field trips and projects


Service to the profession:

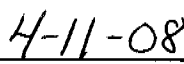
- Contributing to professional societies and accrediting or licensing boards
- Organizing and conducting conferences, symposia, and workshops
- Serving in leadership positions in professional societies or on licensing boards
- Serving on or chairing professional committees or editorial review boards

Service to the community:

- Contributing to local, state, regional, national, or international bodies such as health care providers, business organizations, educational institutions, museums, volunteer civic groups, and governmental boards and agencies
- Serving as an advisor, officer, or chair for such groups and assisting in the development, implementation, or assessment of programs

Approved by:


Margaret Gray-Vickrey
Interim Provost and Vice President for Academic Affairs


Date