General Course Information

**CRN** 80839  
**Course Title** Financial Management  
**Description** Explores the process of securing and allocating funds with the business organization with emphasis on relevant financial decision-making and policy aspects.  
**College** Lutgert College of Business  
**Department** Economics & Finance  
**Course No.** FIN 6406  
**Credit Hours** 3

**Meeting Information**

<table>
<thead>
<tr>
<th>Start</th>
<th>End</th>
<th>Days</th>
<th>Time</th>
<th>Room</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class</td>
<td>Aug. 17, 2016</td>
<td>T</td>
<td>7:30pm-10:15pm</td>
<td>LH 2808</td>
</tr>
<tr>
<td>Exam</td>
<td>Dec. 6, 2016</td>
<td>T</td>
<td>8:15pm-10:30pm</td>
<td>LH 2808</td>
</tr>
</tbody>
</table>

**Prerequisites** FIN 5405 and QMB 6303

Instructor Information

**Instructor** Tim Allen, PHD, CCIM  
**E-Mail** Use Canvas "Inbox" for questions/concerns that are private in nature. Use Canvas "Discussions" for questions/concerns related to the course material.

**Phone Number** Office: (239) 590-7321  
Mobile: (863) 599-2595 (text is better than voice!)

**Office Location/Hours** Lutgert Hall 2301, Thursdays, 11:00am-2:00pm, by appointment

Course Materials


SOFTWARE. Students should have access to Excel, Word, PowerPoint, and Adobe PDF Reader.

FINANCIAL CALCULATOR: The Texas Instruments BAIi Plus is the preferred financial calculator for this course and is the only calculator demonstrated in the course. Phone apps are not acceptable.
Learning Goals, Objectives, and Performance Measures

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<tr>
<th>Learning Goal</th>
<th>Learning Objective</th>
<th>Performance Measures</th>
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<tbody>
<tr>
<td>Critical thinking &amp; problem solving</td>
<td>Solve business problems using critical thinking skills</td>
<td>Solve and present results to quantitative business problems</td>
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</table>

Course Policies

1. ATTENDANCE: Students are expected to attend all class sessions.

2. COURSE COMMUNICATIONS: Canvas “Discussions” and “Inbox” are the official communication mechanisms for this course. (Please do not use regular email.)
   - Canvas “Discussions” are the appropriate mechanism to ask questions or make comments to the instructor and your classmates about the course material.
   - Posts to “Discussions” are available to everyone in the course to see, thus allowing everyone to benefit.
   - Use the Canvas “Inbox” for communications that should not be shared with everyone in the class.
   - If you send a communication to the instructor using “Inbox” that should be posted in “Discussions” instead, the instructor may ask you to post the communication in “Discussions” so other students can benefit from it.
   - The instructor will typically check “Discussions” and “Inbox” each morning and evening on weekdays.

3. QUIZZES & CASES: Quizzes and cases may be administered in class or online using CONNECT and Canvas and may include problems, short-answer, essay, and/or multiple-choice questions. Quizzes are time limited. Quizzes and cases may only be attempted and submitted once. Some quizzes may be administered in-class without prior announcement. Some quizzes and cases may be assigned as group work. There are no make-up quizzes or cases. The lowest quiz or case score for each student will be “dropped” from the calculation of course grades.

4. READING ASSIGNMENTS, END-OF-CHAPTER PROBLEMS and PRACTICE QUIZZES: Each module may have learning activities in the form of reading assignments, end-of-chapter problems, and practice quizzes. These activities are not graded.

5. EXAMS: Exams may be administered in class or online using CONNECT and Canvas and may include problems, short-answer, essay, and/or multiple-choice questions. Exams are time limited. Concepts covered on exams carry over throughout the course. The Final Exam is comprehensive by design. Exams may only be attempted and submitted once. There are no make-up exams. In the event of a single missed exam (Exam 1 or Exam 2, but not both), the weight will be added to the final exam. A second missed exam will receive a score of “0.” There is no option to drop an exam score once the exam has been taken (or attempted).

6. There is no provision for “extra credit” work.

7. GRADES: The course grade will be determined as follows:
   - Quizzes & Cases: 30%
   - Exam 1: 20%
   - Exam 2: 20%
   - Comprehensive Final Exam: 30%

   A  = 94% or better
   A- = 90 – 93%
   B+  = 87 – 89%
   B   = 84 – 86%
   B-  = 80 – 83%
   C+  = 77 – 80%
   C   = 70 – 76%
   F   = less than 70%

8. TECHNOLOGY PROBLEMS: If a system error occurs within Canvas or CONNECT that results in a student not being able to complete a graded assignment (i.e. the Canvas or CONNECT systems go down), the student must notify the instructor of the date and time of the issue and the assignment involved. The instructor will verify the problem with Canvas or CONNECT and notify the student of a solution. Students are responsible for resolving any other technology problems that may arise. The instructor provides no technical support.
Some “Free” Advice from Tim:

Plan your life schedule to allow adequate time each week to master the course material. If you find yourself spending less than 6-9 hours outside of the classroom each week on this course, you are either very bright (great!) or you are probably not learning everything I hope you will learn.

The textbook is the primary learning resource for this course. Read every word of every assigned chapter at least twice: once as if it is a novel, then a second time while outlining the chapter contents. Taking notes in outline format on the reading assignments is a useful study tool for many students. Another useful tool is working the examples within the chapter text to make sure you fully understand the source of every number.

After reading, outlining, and working the examples from the chapter readings, tackle the end-of-chapter questions/problems and every available practice question/problem in CONNECT. For most students, these activities will comprise the bulk of the time spent studying for this course. Then, use the practice quizzes in CONNECT to self-assess your learning. If you can’t correctly complete the practice quizzes with a score of 100% within the time limit, then you are probably not ready to take a graded quiz or exam over that material.

The discussion threads in Canvas are another important learning tool in the course. In effect, they extend the instructor’s traditional office hours and in-class Q&A. Participation the discussion threads, either by asking questions or answering/commenting on the instructor’s and other students’ questions, is a great way to “engage” with the material, the instructor, and your classmates.

Form and participate in a study group!

University Statements:

Academic Behavior Standards and Academic Dishonesty
All students are expected to demonstrate honesty in their academic pursuits. The university policies regarding issues of honesty can be found in the FGCU Student Guidebook under the Student Code of Conduct and Policies and Procedures sections. All students are expected to study this document which outlines their responsibilities and consequences for violations of the policy. The FGCU Student Guidebook is available online at http://studentservices.fgcu.edu/judicialaffairs/new.html

University Nondiscrimination Statement
Florida Gulf Coast University is committed to ensuring equity and fairness for all University employees, students, visitors, vendors, contractors and other third parties. As such, the University prohibits discrimination on the bases of race, color, national origin, ethnicity, religion, age, disability, sex (including sexual harassment/assault), gender identity/expression, marital status, sexual orientation, veteran status or genetic predisposition with regard to admissions, employment, programs or other activities operated by the University. This prohibition extends to enforcement of Title IX of the Education Amendments of 1972. Questions or complaints should be directed to the Office of Institutional Equity and Compliance (OIEC). The OIEC’s phone number is (239)745-4366; the OIEC email address is OIEC@fgcu.edu.

Disability Accommodations Services
Florida Gulf Coast University, in accordance with the Americans with Disabilities Act and the university’s guiding principles, will provide classroom and academic accommodations to students with documented disabilities. If you need to request an accommodation in this class due to a disability, or you suspect that your academic performance is affected by a disability, please see me or contact the Office of Adaptive Services. The Office of Adaptive Services is located in the Wellness Building. The phone number is 239-590-7956 or Video Phone (VP) 239-243-9453. In addition to classroom and campus accommodations, individuals with disabilities are encouraged to create their personal emergency evacuation plan and FGCU is committed to providing information on emergency notification procedures. You can find information on the emergency exits and Areas of Rescue Assistance for each building, as well as other emergency preparedness materials on the Environmental Health and Safety and University Police Department websites. If you will need assistance in the event of an emergency due to a disability, please contact Adaptive Services for available services and information.

Student Observance of Religious Holidays
All students at Florida Gulf Coast University have a right to expect that the University will reasonably accommodate their religious observances, practices, and beliefs. Students, upon prior notification to their instructors, shall be excused from class or other scheduled academic activity to observe a religious holy day of their faith. Students shall be permitted a reasonable amount of time to make up the material or activities covered in their absence. Students
shall not be penalized due to absence from class or other scheduled academic activity because of religious observances. Where practicable, major examinations, major assignments, and University ceremonies will not be scheduled on a major religious holy day. A student who is to be excused from class for a religious observance is not required to provide a second party certification of the reason for the absence.

Distance-Learning
Information on distance learning courses is available online at http://itech.fgcu.edu/distance/

Online Tutorials
Canvas Learning Management System and Demonstration Site Information on Canvas is available online at http://canvas.fgcu.edu/ and https://fgcu.instructure.com/courses/7692

Library Resources
Main page: http://library.fgcu.edu/
Tutorials & Handouts: http://library.fgcu.edu/RSD/Instruction/tutorials.htm
Research Guides: http://fgcu.libguides.com/

Respondus Monitor – Remote, Online Exam Monitoring
In order to protect the integrity of online assessments that are delivered to students off site in a non-proctored location, this course may employ Respondus Monitor technology that will allow for the web-enabled monitoring of exams and quizzes. Students must own a computer device and an associated webcam that meet the minimum requirements of the University’s standard remote monitoring system.

Respondus LockDown Browser – Classroom and/or Remote Online Exams
In order to protect the integrity of classroom or remote online exams, this course may employ Respondus LockDown Browser technology that will allow for the student’s temporary restriction to a designated online testing website, disabling the ability to print, copy, access other applications or move to any other URL for the duration of the assessment. This technology may be used on campus in University computer classrooms or for remote delivery of quizzes and exams.

Lecture Capture Technology/FGCU Capture – Classroom Recording
This course may employ technology that will allow for audio and/or video recording of live classroom sessions. This lecture capture technology is utilized for the sole purpose of enhancing student learning. It may provide for supplemental student instruction via secure links to recorded session(s), the live stream of courses, presentations of off-site guest speakers and/or the delivery of course instruction utilizing “flipped classroom” methodologies. Student questions and/or comments may be included as a part of any session being recorded. See FGCU-CAPTURE for additional details and training at http://aets.fgcu.edu/fgcucapture.asp.

Verification of Attendance in Canvas (VAC)
As of fall 2015, all faculty members are required to use Canvas to confirm a student’s attendance for each course by the end of the first week of classes. Failure to do so will result in a delay in the disbursement of your financial aid. The confirmation of attendance is required for all students, not only those receiving financial aid.
### Finance 6404 Schedule

<table>
<thead>
<tr>
<th>Module</th>
<th>Date</th>
<th>Topic</th>
<th>Assignments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>23-Aug</td>
<td>Introduction to Corporate Finance</td>
<td>Read Syllabus, Read Chapter 1, Complete end-of-chapter and Connect practice exercises, Take Module 1 Quiz in Connect &amp; First Week Survey in Canvas (Univ. Req.)</td>
</tr>
<tr>
<td>2</td>
<td>30-Aug</td>
<td>Financial Statements and Cash Flow</td>
<td>Read Chapter 2, Complete end-of-chapter and Connect practice exercises, Take Module 2 Quiz in Connect</td>
</tr>
<tr>
<td>3</td>
<td>6-Sep</td>
<td>Financial Statements Analysis</td>
<td>Read Chapter 3, Complete end-of-chapter and Connect practice exercises, Take Module 3 Quiz in Connect</td>
</tr>
<tr>
<td>4</td>
<td>13-Sep</td>
<td>Time Value of Money and Discounted Cash Flow Valuation</td>
<td>Read Time Value of Money Primer, Read Chapter 4, Complete end-of-chapter and Connect practice exercises, Take Module 4 Quiz in Connect</td>
</tr>
<tr>
<td></td>
<td>20-Sep</td>
<td><strong>Exam 1</strong></td>
<td>Take Exam 1</td>
</tr>
<tr>
<td>5</td>
<td>27-Sep</td>
<td>NPV and Other Investment Rules</td>
<td>Read Chapter 5, Complete end-of-chapter and Connect practice exercises, Take Module 5 Quiz in Connect</td>
</tr>
<tr>
<td>6</td>
<td>4-Oct</td>
<td>Making Capital Investment Decisions</td>
<td>Read Chapter 6, Complete end-of-chapter and Connect practice exercises, Take Module 6 Quiz in Connect, Submit Case #1 Analysis Report via Canvas</td>
</tr>
<tr>
<td>7</td>
<td>11-Oct</td>
<td>Bond Valuation and Long-term Financing</td>
<td>Read Chapters 8 and 15, Complete end-of-chapter and Connect practice exercises, Take Module 7 Quiz in Connect</td>
</tr>
<tr>
<td>8</td>
<td>18-Oct</td>
<td>Stock Valuation</td>
<td>Read Chapter 9, Complete end-of-chapter and Connect practice exercises, Take Module 8 Quiz in Connect</td>
</tr>
<tr>
<td></td>
<td>25-Oct</td>
<td><strong>Exam 2</strong></td>
<td>Take Exam 2</td>
</tr>
<tr>
<td>9</td>
<td>1-Nov</td>
<td>Cost of Capital</td>
<td>Read Chapter 13, Complete end-of-chapter and Connect practice exercises, Take Module 9 Quiz in Connect</td>
</tr>
<tr>
<td>10</td>
<td>8-Nov</td>
<td>Capital Structure Part I</td>
<td>Read Chapter 16, Complete end-of-chapter and Connect practice exercises, Take Module 10 Quiz in Connect</td>
</tr>
<tr>
<td>11</td>
<td>15-Nov</td>
<td>Capital Structure Part II</td>
<td>Read Chapter 17, Complete end-of-chapter and Connect practice exercises, Take Module 11 Quiz in Connect</td>
</tr>
<tr>
<td>12</td>
<td>22-Nov</td>
<td>Dividend Policy</td>
<td>Read Chapter 19, Complete end-of-chapter and Connect practice exercises, Take Module 12 Quiz in Connect</td>
</tr>
<tr>
<td>13</td>
<td>29-Nov</td>
<td>International Corporate Finance</td>
<td>Read Chapter 31, Complete end-of-chapter and Connect practice exercises, Submit Case #2 Analysis Report via Canvas</td>
</tr>
<tr>
<td>6-Dec</td>
<td></td>
<td><strong>Comprehensive Final Exam 8:15pm - 10:30pm, LH 2808</strong></td>
<td>Take Comprehensive Final Exam</td>
</tr>
</tbody>
</table>

Chapter numbers refer to full textbook chapters. Quizzes in Connect are available for a single attempt after class on the date listed. Quizzes and Cases are due by noon on the starting date for the next module. Additional, unannounced quizzes may be administered in class. Quizzes and Exams are time-limited.